Graduate Education
Thesis & Dissertation Writing Workshop
Spring 2021
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Part I: Guidance on writing your thesis or dissertation
The Office of Graduate Education

- C 2201

- Maribeth Price
  - Dean of Graduate Education

- Rachel Howard
  - Admissions, applications, assistantships

- Stephen Detlefs
  - Student progress, POS processing, degree audits, thesis/dissertation processing
How you earn a research degree

• READ journals to find a question that hasn’t been answered or a problem that hasn’t been solved
• DEFINE a question and methods to answer it
• DO the research
• WRITE up the answer
• DEFEND it publically
• PUBLISH it
Who is responsible?

• This is YOUR project
  • Your ideas
  • Your work
  • Your writing
  • Your job to finish

• However, many others will help if you ask:
  • Your Advisor/Major Professor
  • Your Program’s Graduate Coordinator
  • Your Committee
  • Your Department
  • The Office of Graduate Education

• Outstanding training for workplace projects
The process

Where to start, how to proceed
Where do I start?

• Define a topic area and establish committee
• Review the literature
• Develop a research question/hypothesis
• Develop and refine methods
• Collect and analyze data
• Write up the results
• Get approval of document from advisor
• Get approval from committee
• Defend
• Final edits

Process is not as linear as it appears!
Define a topic area

• What do you want to be an expert in?
• What skills/knowledge do you want to gain?
• What professional areas interest you?
• What do you think will help you find a job?
• What can your advisor give you funding for?

Ideally, the topic excites and interest you. You will be spending a lot of time on it—it helps if it enjoyable.
Establish a Graduate Committee

- **Purpose:** to guide your coursework selection and research activities
- **Master’s committee**
  - Major professor (has at least a master’s degree)
  - Graduate Division Representative
  - At least one additional member
- **Dissertation committee**
  - Major professor (must have a PhD)
  - Graduate Division Representative
  - At least three additional members
Who can be on a committee?

- Major professors and Grad Div Reps must be full-time Mines faculty.
- Grad Division Rep must be from a different department/program*
- All committee members must have a minimum of a BS degree.
- Better not to overload committee
  - More members = harder scheduling

* Not on faculty list for interdisciplinary programs
Off-campus committee members

- Must have at least a BS degree
- At least 3 committee members must be full-time Mines faculty
- Larger committees must have a majority of Mines full-time faculty
Role of the major professor

• Guide your coursework
• Assist you in choosing a committee
• Assist you in developing research problem
• Guide your research
• Review the thesis/dissertation content and format for adherence to Grad Ed requirements
• Chair the committee for exams/defense; manage committee disagreements
• Mentor your development as a research professional
Role of the Graduate Division Rep

• Represent the broad concerns of the Office of Graduate Education and the University with respect to high standards of graduate education and scholarly performance,

• Assure that all procedures are carried out fairly and according to the guidelines of the Office of Graduate Education,

• Participate in conducting final examinations and provide an outcome report to the Office of Graduate Education immediately following the final exam,

• Assist in the resolution of committee disputes.
Role of the committee members

• To assist the major professor and Grad Div Rep in guiding and evaluating you
• To attend and evaluate your performance on exams and the defense
• To provide additional expertise to the research
• To review the quality of the work performed
• To verify that the final thesis/dissertation is an acceptable document in term of technical quality and written English
Review the literature

• Learn to use search engines to find journal articles, and the library to get them
• Get a bibliography program such as EndNote
• Read, read, read, every week
• Critically review each paper
  • What was established? What questions were answered?
  • What new questions arose? What is the next step? Could you do that next step?
• Develop a way to organize what you learn
• Start writing—the lit review is Chapter 1.
Your research question

• Use your reading and advisor’s guidance to develop a research question or hypothesis
  • A good research question can be clearly answered yes or no
  • A good hypothesis is a statement that can be proved or disproved

• Both
  • Define a problem in concrete, definitive terms
  • Define the scope of the problem
  • May be a portion of a larger problem
  • Help you clearly see what to do next
Refine your question

• Is your research question focused? Is it answerable?
• Compare these proposed research problems:

Physiological impacts on intellectual capacity

The effects of caffeine on student performance

College students obtain higher test scores when they consume caffeine before exams.
Develop and refine methods

• What data are needed?
  • Preliminary versus primary

• How will it be gathered?
  • Consult literature for standard practices
  • Determine if new practices will be tested?

• How will it be analyzed?
  • What statistical or analytical techniques are needed? The design must support the analysis.

• What problems might arise? How can the risks be mitigated?

• What results are anticipated?
• This is Chapter 2!

• Write the chapter while defining methods
  • The writing process itself helps clarify thoughts
  • You will better anticipate problems
  • Less likely to fail to collect important data

• Include data analysis methods
  • Visualize statistics or figures needed to prove or disprove the hypothesis

• Consult your statistician beforehand
The Proposal

• Required for MS students

• Part of the PhD comprehensive exam

  • Reviews the literature
  • Establishes the research question/hypothesis
  • Defines the methods
  • Shows preliminary data, if any
  • Poses alternatives in case of “unexpected” challenges and outcomes

• Establishes objectives and deliverables that indicate completion of the project (in essence, the contract between you and your committee).
Collect and analyze data

• Meet regularly with major professor to discuss results and plan revisions, if needed
• Be precise and methodical
• Keep excellent notes—on everything
  • Pictures, drawings, figures, files
  • Experimental details, dates, equipment
  • Data, “good or “bad”, ALL of it
  • Lab books are more reliable than computer files
  • BACK UP all data and notes weekly
• If ethical, legal, or ownership issues arise, these records could be needed to defend your work.
Get approvals

- Consult major professor and committee frequently through the research process
- “Final” draft should be as good as you can make it
  - Review Grad Office formatting requirements
  - Proofread and edit; get help if you need it
- Major professor must approve it before you send to the committee
- Committee must approve it as defensible before you can defend

Committee approvals:
I have reviewed this thesis/dissertation and verified that it appears to be an acceptable manuscript in terms of technical quality and proper expression and usage in American Standard English. (You are encouraged to provide written comments to the student immediately following your review, so that the student may work on revisions prior to the defense.)
The defense is your “final exam”

Typically a 30-60 minute presentation, open to the public,

Followed by an oral examination by your committee in private

Additional revisions usually suggested

Details on this process are in the next section of this workshop
How to minimize revisions

- Ask for feedback on early chapters as soon as possible
  - Introduction and methods should be mostly complete before you start collecting data
  - Results should be written up

- Consult with your committee as you work
  - Discuss initial results and get suggestions
  - Explore interpretation and conclusions

- Learn to write well so your committee can focus on the science, not your grammar and spelling

- Expect at least one round of revisions from your major professor before your committee even sees the document
The document
Creating and editing
When do you start writing?

• **In your first semester**

• Your proposal is the **first draft** of the thesis or dissertation!
  - Literature review to define the problem
  - Methods to plan how to tackle it

• Add to the draft as you read more papers, get results, create figures, interpret data.

• Put it all in; you can edit later

• For the final draft, you will be selecting, refining and interpreting what is already there
Dealing with writer’s block

- Treat it as an ongoing, evolving document
- Everyone’s first draft is imperfect!
- Don’t worry about writing well the first time
  - Get your thoughts down
  - Revise and edit later
- Write or edit something every week
- Update new lit review results or method changes as you go
- Make regular backups in at least two places
Revising with your major professor

- Check whether he/she prefers individual chapters as you go, or a single document at the end.
- Check preferred format (paper, Word, PDF)
- Perfect your writing so advisor can focus on your research, not your grammar
- Implement revisions before asking for more revisions!!!
Stay determined

• There will be **MANY iterations** between you and your major professor, often more than you anticipated.
  • Lots of bold, red edits will come your way
  • Cultivate a positive attitude in response to constructive criticism.
  • Not all faculty as practiced in commending the positive as they are in finding things to fix…

• Allow sufficient time to complete editing
  • The “last draft” should be given to major professor for approval **8-10 weeks** before the end of the semester you intend to graduate.

• Read this document early on
• Saves time if you format the proposal and early drafts correctly
• LaTeX template available
• Learn to use Word styles
• Use a bibliography program for citations
Two style options

- Traditional Thesis/Dissertation
- Compilation of Journal Articles

No “hybrid” styles are allowed. You must adhere to one or the other of these styles.
The required components of an M.S. thesis or Ph.D. dissertation include the following. ALL components MUST be available for committee review prior to the defense.

- Title Page,
- Abstract,
- Acknowledgements,
- Table of Contents,
- List of Tables,
- List of Figures,
- Introduction
- Theory and/or Literature Search
- Methods
- Results
- Conclusions
- Bibliography,
- Appendices,
- Vita.

Chapter titles and organization are flexible to accommodate differences in disciplines, but these components should be present and recognizable.
Journal Articles Style

- Title Page,
- Abstract,
- Acknowledgements,
- Table of Contents,
- List* of Tables,
- List* of Figures,
- **Introduction** to the full body of work represented by the articles
- **One or more journal articles**, 
- **Conclusions** including a summary of conclusions from the articles and implications from the work as a whole
- Bibliography for references not in the articles,
- **Appendices** (include documentation for permission to reproduce published/copyrighted articles),
- Vita.

Chapter titles and organization are flexible to accommodate differences in disciplines, but these components should be present and recognizable.
• If you publish a paper before it is included in the thesis/dissertation, you must obtain permission from the journal

• Applies to accepted or published papers, not submitted ones
Who owns a thesis/dissertation?

• The ownership rights to theses and dissertations created by students is governed by SD BOR Policy 4:34 and the SD Mines policy IX-2.

• Students with questions regarding intellectual property, patents, and inventions are advised to review these policies and contact the SD Mines Office of Research Affairs with questions.
Restricted-access theses or dissertations are restricted by either:

(A) Federal Law as (i) Export Controls: EAR (Department of Commerce), ITAR (State Department), FAC (Department of Treasury) or (ii) Antiquities Acts

(B) Intellectual Property concerns, namely proprietary Information (either by contract with a private company, or SDSM&T proprietary information).
Things to know about restricted work

• It shouldn’t delay graduation, but it restricts your ability to publish, and may impact your job search.

• Defense of restricted work is attended only by the committee. Library copies are not available until a designated time period is over.

• Restricted work must be declared as such when you apply to defend.

• Discuss whether your work will be restricted with your major professor before you start.
Looking ahead

• More info during the next session

• You must stay continuously registered or on leave until you finish

• You must complete the degree within 5 years (MS) or 8 years (PhD)

• The defense process will take longer than you think.
  • Final written draft should be completed by mid-term of your final semester
Questions?

https://www.sdsmt.edu/Academics/Graduate-Education/Grad-Ed-Forms/

Workshop slides and videos are here
Writing manual and forms are here

STEM Leadership